



UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1728.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 740 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 818, including any multiple dependent claim fees, are required.

\$ 75 for 3 independent claims over 3.

\$ 100 for 26 dependent claims over 20.

\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

## CERTIFICATE OF MAILING



I hereby certify that this paper is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on April 16, 1999.

Sherri L. Ballbach 4-16-99  
 Sherri L. Ballbach Date

**COPY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

:

Tsang et al.

:

Serial No: 09/185,243

: Group Art Unit: 1635

Filed: November 03, 1998

: Examiner: not known

For: **HYPERTHERMIC INDUCIBLE EXPRESSION VECTORS FOR GENE THERAPY AND METHODS OF USE THEREOF**

Box Missing Parts  
 Assistant Commissioner for Patents  
 Washington, DC 20231

Sir:

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the above-entitled patent application are the following:

1. Copy of Notice to File Missing Parts of Application (Part 2)
2. Check in the amount of \$2118.00
3. Combined Declaration and Power of Attorney (executed)
4. Return Receipt Postcard

**[x] Petition For Extension Of Time**

Applicant(s) hereby petition(s) for an extension of time under 37 CFR 1.136(a) to respond to the Notice to File Missing Parts of Provisional Application mailed December 17, 1998, for

<input type="checkbox"/> one month .....	\$110.00/\$55
<input checked="" type="checkbox"/> two months .....	\$380.00/\$190
<input type="checkbox"/> three months.....	\$870.00/\$435

the fee (37 CFR 1.17) for which is authorized below.

Fee Calculation

**COPY**

The required fee is calculated below:

Application Filing Fee (large entity):	\$790.00
PLUS independent claims over 3	\$246.00
PLUS dependent claims over 20	\$572.00
PLUS Extension of Time Fee:	\$380.00
PLUS Fee for Missing Parts:	<u>\$130.00</u>
TOTAL FEE DUE:	<u>\$2118.00</u>

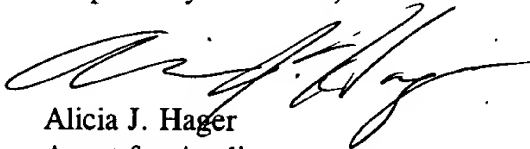
☒ Enclosed is a check in the amount of \$2118.00.

Deposit Account Authorization

☐ Please charge \$0.00 to Deposit Account No. \_\_\_\_\_.

☒ Please charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 08-1641. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,



Alicia J. Hager  
Agent for Applicants  
Reg. No. 44,140

Date: April 16, 1999

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